



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/932,986  
Applicant : Mark S.F. Clarke et al.  
Filed : August 21, 2001  
TC/A.U. : 1754  
Examiner : Lish, P.  
Confirmation No. : 6829  
Docket No. : USRA-SWCNT VI  
Title : PRODUCTION OF STABLE AQUEOUS  
DISPERSIONS OF CARBON NANOTUBES

**Mail Stop Issue Fee**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

**ISSUE FEE TRANSMITTAL**

Transmitted herewith is an Issue Fee Transmittal (Form PTOL 85b) for the above-identified application.

Also enclosed is:

- ☒ Other: Letter to Draftsperson (2 pages);  
Copy of Date Stamped Receipt (1 page);  
Copy of Proposed Correction and Drawings previously  
submitted (2 pages);  
Formal Drawings (5 sheets - Figs 1A, 1B, 1C, 2, 3)

Fees:

- ☒ Issue Fee of \$685.00  
☒ Other Fees: \$300.00 for publication fee.

Total fee: \$985.00

Payment of Fees:

- ☒ Check No. 8294 in the amount of \$985.00 for the total fee is attached.
- ☐ Please charge \$\_\_\_\_\_ to Deposit Account No. 05-0460 for the total fee. This paper is being submitted in duplicate.

☒ The Commissioner is hereby authorized to charge any additional fees that may be required, and to credit any overpayment, to Deposit Account No. 05-0460.

**Applicant is entitled to the status of small entity.**

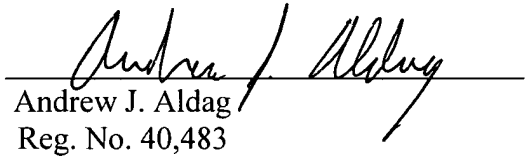
Dated: December 7, 2004

**EDELL, SHAPIRO & FINNAN, LLC**  
**CUSTOMER NO. 27896**  
1901 Research Boulevard, Suite 400  
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Respectfully submitted by

**EDELL, SHAPIRO & FINNAN, LLC**

By:

  
Andrew J. Aldag  
Reg. No. 40,483



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**LETTER TO DRAFTSPERSON**

Sir:

Applicants note that a Proposed Correction to the Drawings was submitted during prosecution of the present application on May 28, 2003. Copies of the Proposed Correction to the Drawings and a filing receipt date stamped by the U.S. PTO verifying receipt of this paper are attached with this letter.

In the Proposed Correction, Applicants proposed correcting a typographical error in Fig. 1A, namely changing "BBDA" to --BDDA--. There has been no indication as to whether this change has been approved by the Examiner.

In an attempt to comply with this drawing change request, Applicants submit herewith formal drawings which incorporate the proposed correction to Fig. 1A. Applicants request approval of these formal drawings and that these drawings will be submitted for publication with the issued patent.

**Letter to the Draftsperson**  
**U.S. Patent Application No. 09/932,986**

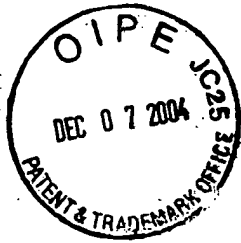
If there are any questions regarding the submission of the formal drawings, please contact the undersigned at the number below.

Respectfully submitted,

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Andrew J. Aldag  
Registration No. 40,483

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Hand Delivered on: December 7, 2004



Atty. Docket No.: USRA-SWCNT VI (1920.0003C)

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In re the PATENT application of

Mark S.F. Clarke et al.

Serial No.: 09/932,986

Group Art Unit: 1754

Filed: August 21, 2001

Examiner: Lish, P.

For: PRODUCTION OF STABLE AQUEOUS DISPERSIONS OF CARBON NANOTUBES

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450



Sir:

The stamp of the U.S. Patent and Trademark Office placed hereon will indicate the date of receipt of the following:

Transmittal Letter (2 pages);

Amendment, including Appendix (13 pages total);

Declarations of Daniel L. Feeback and Mark S.F. Clarke (8 pages total);  
and

Proposed Corrections to the Drawings.

Hand-delivered: May 28, 2003



Attorney Docket No.: USRA – SWCNT VI

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In re the PATENT application of

Mark S. F. CLARKE et al.

Serial No: 09/932,986

Filed: August 21, 2001

Group Art Unit: 1754

Examiner: LISH, P.

For: PRODUCTION OF STABLE AQUEOUS DISPERSIONS OF CARBON NANOTUBES

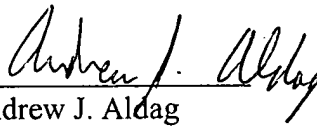
**PROPOSED CORRECTIONS TO THE DRAWINGS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Attached herewith are proposed drawing corrections to Fig. 1A, with proposed corrections in red ink as required by 37 CFR 1.121(d). In particular, it is proposed to correct Fig. 1A by changing "BBDA" to --BDDA--. Formal drawings will be submitted incorporating these changes upon receiving approval from the Examiner.

Respectfully submitted,

  
Andrew J. Aldag  
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Hand-Delivered: May 28, 2003